

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: James Chen

Application No./Patent No.: 7,018,395 Filed/Issue Date: March 28, 2006

Entitled: PHOTODYNAMIC TREATMENT OF TARGETED CELLS

Light Sciences Oncology, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest; or
- ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

- From: Inventor To: Light Sciences Corporation  
The document was recorded in the United States Patent and Trademark Office at  
Reel 015236, Frame 0228, or for which a copy thereof is attached.
- From: Light Sciences Corporation To: Light Sciences Acquisition, LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019617, Frame 0075, or for which a copy thereof is attached.
- From: Light Sciences Acquisition, LLC To: Light Sciences, LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019617, Frame 0078, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Karl L. Klassen  
Signature

March 2, 2012  
Date

Karl L. Klassen, Reg. No. 54,224  
Printed or Typed Name

206-359-8000  
Telephone Number

Attorney for the Assignee  
Title

**STATEMENT UNDER 37 CFR 3.73(b) - Supplemental Sheet**

Continuation of chain of title from the inventor(s) to the current assignee.

4. From: Light Sciences, LLC To: Light Sciences Oncology, Inc.  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel 019617, Frame 0080, or for which a copy thereof is attached.
5. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
6. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
7. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
8. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
9. From: \_\_\_\_\_ To: \_\_\_\_\_  
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 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.